

COPY OF PAPERS
ORIGINALLY FILED

Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,810	11/27/2001	Jay S. Walker	01-050

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

CONFIRMATION NO. 1237

FORMALITIES LETTER



OC000000007193968

COPY

Date Mailed: 12/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0300 T.D
12-18-01 Ssector

TRANSMITTAL LETTER (General - Patent Pending)	COPY OF PAPERS ORIGINALLY FILED	Docket No. 01-050
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In Re Application Of: WALKER et al.	FEB 2 5 2002 PATENT & TRADEMARK OFFICE
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Serial No. 09/994,810	Filing Date November 27, 2001	Examiner Not Yet Assigned	Group Art Unit 2161
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Title: METHOD AND APPARATUS FOR UTILIZING DEMAND INFORMATION AT A VENDING MACHINE
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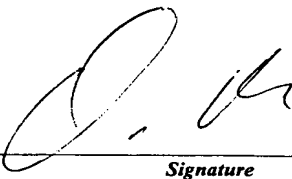
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Copy of Notice to File Missing Parts (1 pg.); Response to Notice to File Missing Parts of Application (3 pp.) (x2);
Assignment of Rights, Title and Interest in Application (4 pp.);
Declaration and Power of Attorney (4 pp.);
Recordation Form Cover Sheet (4 pp.) (x2); and
Return Receipt Postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271**
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$170.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: **February 7, 2002**

Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
(203) 461-7337/phone
(203) 461-7300/fax

Customer No. 22927

Alderucci@walkerdigital.com
CC:

I certify that this document and fee is being deposited
on **February 7, 2002** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
01-050

In Re Application Of: **WALKER et al.**

COPY OF PAPERS
ORIGINALLY FILED

FEB 25 2002

Serial No.
09/994,810

Filing Date
November 27, 2001

Examiner
Not Yet Assigned

Group Art Unit
2161

Invention: **METHOD AND APPARATUS FOR UTILIZING DEMAND INFORMATION AT A VENDING MACHINE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
December 14, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Transmittal Letter (1 pg.) (x2);
Copy of Notice to File Missing Parts (1 pg.);
Assignment of Rights, Title and Interest in Invention (4 pp.);
Declaration and Power of Attorney for Patent Application (4 pp.);
Recordation Form Cover Sheet (4 pp.) (x2); and
Return Receipt Postcard.

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
01-050

In Re Application Of: **WALKER et al.**

Serial No.
09/994,810

Filing Date
November 27, 2001

Examiner
Not Yet Assigned

Group Art Unit
2161

Invention: **METHOD AND APPARATUS FOR UTILIZING DEMAND INFORMATION AT A VENDING MACHINE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
01-050

In Re Application Of: **WALKER et al.**

Serial No.
09/994,810

Filing Date
November 27, 2001

Examiner
Not Yet Assigned

Group Art Unit
2161

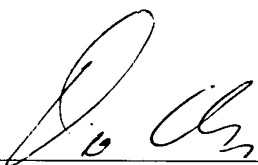
Invention: **METHOD AND APPARATUS FOR UTILIZING DEMAND INFORMATION AT A VENDING MACHINE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0271**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0271**
A duplicate copy of this sheet is enclosed.



Signature

Dated: **February 7, 2002**

Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
(203) 461-7337/phone
(203) 461-7300/fax

Customer No. 22927

cc: Alderucci@walkerdigital.com

I certify that this document and fee is being deposited on **February 7, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
01-050

In Re Application Of: JAY S. WALKER et al.

Serial No.
09/994,810

Filing Date
November 27, 2001

Examiner
Not Yet Assigned

Group Art Unit
2161

Title:

METHOD AND APPARATUS FOR UTILIZING DEMAND INFORMATION AT A VENDING MACHINE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Assertion of Small Entity Status, 1 pg.;
Request for Corrected Notice of Recordation of Assignment, 2 pp., (x2);
Copy of Notice of Non-recordation of Document, 1 pg.; Recordation Form Cover Sheet 4 pp.) (x2);
Copy of Assignment of Rights, Title and Interest in Invention, 4 pp., (original submitted to USPTO on Feb. 7, 2002);
Exhibit "A" Copy of Return Receipt Postcard; and Return Receipt Postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.



Signature

Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
203.461.7337/phone
203.461.7300/fax

Dated: May 23, 2002

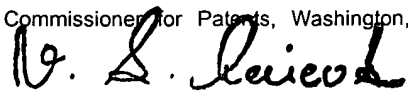
Alderucci@walkerdigital.com

CC:

RECEIVED
JUN 07 2002
Technology Center 2100

RECEIVED
MAY 30 2002
GROUP 300

I certify that this document and fee is being deposited
May 23, 2002 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.




Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: JAY S. WALKER et al.) Examiner: Not Yet Assigned
Serial No.: 09/994,810) Group Art Unit: 2161
Filing Date: November 27, 2001) Attorney Docket No: 01-050
For: METHOD AND APPARATUS FOR) Customer No.: 22927
UTILIZING DEMAND) **Notification of Fee Status Change**
INFORMATION AT A VENDING) **Pursuant to 37 CFR § 1.27**
MACHINE)



Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JUN 07 2002
Technology Center 2100

ASSERTION OF SMALL ENTITY STATUS

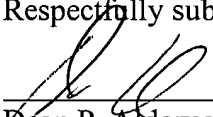
Dear Sir:

The assignee of the above-identified application no longer claims large entity status. This notification is made pursuant to 37 CFR §1.27.

If the Examiner has any questions about this status change, the Examiner is invited to contact the undersigned at (203) 461-7337. While no fees are believed due in connection with this paper, please charge any fees that may be required for this paper, or with any other papers filed in connection with this application to Deposit Account No. 50-0271.

May 23, 2002
Date

Respectfully submitted,


Dean P. Alderucci
Attorney for Applicants
Registration No. 40,484
Walker Digital, LLC
Five High Ridge Park
Stamford, CT 06905-1326
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(203) 461-7300 /fax
Alderucci@walkerdigital.com